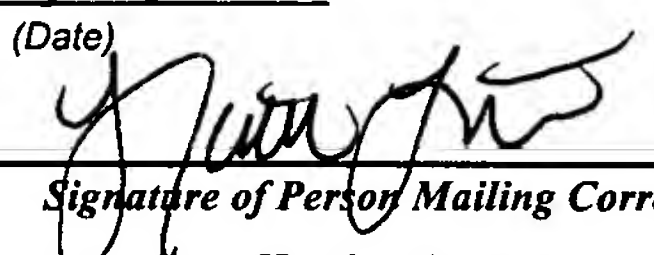



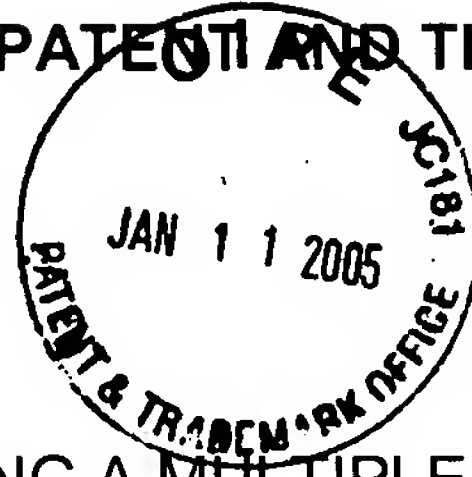
IPW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 0112300-1634	
In Re Application Of: Baerlocher					
Application No. 10/659,629	Filing Date September 9, 2003	Examiner Unknown	Customer No. 29159	Group Art Unit 3713	Confirmation No. 5599
Title: GAMING DEVICE HAVING A MULTIPLE ROUND GAME WHERE SUCCESS IN ONE ROUND DETERMINES THE PROBABILITIES OF SUCCESS IN ANOTHER ROUND					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
37 CFR 1.97(b)					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);					
OR					
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 0112300-1634	
In Re Application: Baerlocher					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/659,629	September 9, 2003	Unknown	29159	3713	5599
Title: GAMING DEVICE HAVING A MULTIPLE ROUND GAME WHERE SUCCESS IN ONE ROUND DETERMINES THE PROBABILITIES OF SUCCESS IN ANOTHER ROUND					
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))					
<div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> A check in the amount of _____ is attached.</div><div><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.</div></div> <div style="margin-left: 40px;"><input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.</div> <div><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</div>					
<p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
Certificate of Transmission by Facsimile*			Certificate of Mailing by First Class Mail		
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)			I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on		
_____ (Date)			<u>January 7, 2005.</u> (Date)		
_____ Signature			 Signature of Person Mailing Correspondence		
_____ Typed or Printed Name of Person Signing Certificate			_____ Typed or Printed Name of Person Mailing Certificate		
<div style="display: flex; justify-content: space-between;"><div><p>*This certificate may only be used if paying by deposit account.</p> _____ Signature<p>Adam H. Masia (Reg. No. 35,602) BELL, BOYD & LLOYD LLC P. O. Box 1135 Chicago, Illinois 60690-1135 Phone: (312) 807-4284</p></div><div><p>Dated: January 7, 2005</p></div></div>					
cc:					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Baerlocher
Appl. No.: 10/659,629
Conf. No.: 5599
Filed: September 9, 2003
Title: GAMING DEVICE HAVING A MULTIPLE ROUND GAME WHERE
SUCCESS IN ONE ROUND DETERMINES THE PROBABILITIES OF
SUCCESS IN ANOTHER ROUND
Art Unit: 3713
Examiner: Unknown
Docket No.: 0112300-1634



Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,582,324	April 15, 1986	Koza et al.
4,695,053	September 22, 1987	Vazquez, Jr. et al.
5,092,598	May 3, 1992	Kamille
5,205,555	April 27, 1993	Humano
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5,407,200	April 18, 1995	Zalabak
5,449,173	September 12, 1995	Thomas et al.
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5,536,016	July 16, 1996	Thompson
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Des. 421,068	February 22, 2000	Yamaguchi
6,033,307	March 7, 2000	Vancura
6,056,642	May 2, 2000	Bennett
6,059,289	May 9, 2000	Vancura
6,062,980	May 16, 2000	Luciano
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6,290,600 B1	September 18, 2001	Glasson
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6,319,124 B1	November 20, 2001	Baerlocher et al.
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6,346,043 B1	February 12, 2002	Colin et al.
6,375,187 B1	April 23, 2002	Baerlocher

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
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6,514,141 B1	February 4, 2003	Kaminkow et al.
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WO 9814251	April 9, 1998	PCT

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Deep Pockets Advertisement, written by IGT, published in March 2002.

Double Up – Poker Game Description, written by IGT, published in 2000.

Elvis Brochure, written by IGT, published in 1999.

Family Feud website, printed from www.timvp.com/famfeud.html printed on December 17, 2004.

Family Feud Game website, printed from gamesmuseum.com on December 17, 2004.

Family Feud History website, printed from familyfeud.tv/history.html on December 17, 2004.

Fortune Cookie Advertisement, written by IGT, published in 2000.

The Frog Prince Advertisement, written by IGT, published in 2001.

Continuing Odyssey Article, written by Strictly Slots, published in February 2001.

Regis Cash Club Advertisement, written by IGT, published in January 2002.

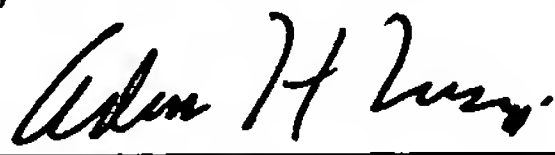
Star Spangled Keno Gaming Machine Description, written by Silicon Gaming, published in 2001.

Top Dollar Brochure, written by IGT, published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia

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P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4284

Dated: January 7, 2005

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) JAN 11 2005 PTO Form 1449	Atty Docket No. 112300-1634	Application No. 10/659,629
	Applicant Anthony J. Baerlocher	
	Filing Date Sept. 9, 2003	Group 3713

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
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	4,695,053	September 22, 1987	Vazquez, Jr. et al.			
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	5,205,555	April 27, 1993	Humano			
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	5,449,173	September 12, 1995	Thomas et al.			
	5,501,455	March 26, 1996	Hirata et al.			
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	5,647,798	July 15, 1997	Falciglia			
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	5,788,573	August 4, 1998	Baerlocher et al.			
	5,823,872	October 20, 1998	Prather et al.			
	5,823,873	October 20, 1998	Moody			
	5,873,781	February 23, 1999	Keane			
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	5,947,820	September 7, 1999	Morro et al.			
	5,964,463	October 12, 1999	Moore, Jr.			
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Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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	6,117,007	September 12, 2000	Matsuyama et al.			
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						Yes	No
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	EP 0 984 409 A2	March 8, 2000	Europe				
	GB 2 092 797	August 18, 1982	United Kingdom				
	GB 2 144 644	March 13, 1985	United Kingdom				

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	Applicant Anthony J. Baerlocher	
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	WO 9814251	April 9, 1998	PCT				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Big Bank Piggy Bankin' Advertisement written by WMS, published prior to 2000.
	Deep Pockets Advertisement, written by IGT, published in March 2002.
	Double Up – Poker Game Description, written by IGT, published in 2000.
	Elvis Brochure, written by IGT, published in 1999.
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	Family Feud Game website, printed from gamesmuseum.com on December 17, 2004.
	Family Feud History website, printed from familyfeud.tv/history.html on December 17, 2004.
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